

# ~ Lecture IV ~

## Keywords:

higher-order functions; list functionals.

## References:

- ◆ [MLWP, Chapter 3]

## Higher-order functions

A *higher-order function* (or *functional*) is a function that operates on other functions; e.g., it either takes a function as an argument, or yields a function as a result.

Higher-order functions are a key feature that distinguishes functional from imperative programming. They naturally lead to:

- ◆ Partial evaluation.
- ◆ General-purpose functionals.
- ◆ Infinite lists.

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## Functionals with numbers

### 1. Summation.

$$\text{sum } f \ i \ j = \sum_{n=i}^j f(n)$$

```
fun sum f i j
  = if i > j then 0.0
    else f(i) + sum f (i+1) j ;
~  
"p01"  
> val sum = fn : (int -> real) -> int -> int -> real
```

?

What do the functions

```
fn f => fn i => fn k => fn l => sum ( sum f i ) k l  
and
```

```
fn h => fn i => fn j => fn k => fn l =>
  sum (fn n => sum (h n) i j) k l
```

do?

### 2. Iterated composition.

$$\text{iterate } f \ n \ x = f^n(x)$$

```
fun iterate f n x
  = if n > 0 then iterate f (n-1) (f x)
    else x ;
~  
"p02"  
> val 'a iterate
  = fn : ('a -> 'a) -> int -> 'a -> 'a
```

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# Map

It is often useful to systematically transform a list into another one by **mapping** each element via a function as follows

$$\text{map } f [a_1, \dots, a_n] = [f(a_1), \dots, f(a_n)]$$

where  $a_i \in A$  ( $i = 1, \dots, n$ ) and  $f : A \rightarrow B$ .

```
fun map f [] = []
| map f (h::t) = (f h) :: map f t ;
~  
"p03"  
  
> val ('a, 'b) map
  = fn : ('a -> 'b) -> 'a list -> 'b list
```

## Examples

```
load"Real" ;
fun cast l = map (fn x => real x) l ;
fun scaleby n = map (fn x => Real.*(n,x)) ;
fun lift l = map (fn x => SOME x) l ;
fun transp ( []:_ ) = []
| transp rows = (map hd rows) :: transp( map tl rows) ;
~  
"p04"  
  
> val scaleby = fn : int -> int list -> int list
> val 'a lift = fn : 'a list -> 'a option list
> val 'a matrixtransp = fn : 'a list list -> 'a list list
```

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# Filter

This functional applies a predicate (= boolean-valued function) to a list, returning the list of all the elements satisfying the predicate in the original order; thus **filtering** those that do not.

```
fun filter P []
  = []
| filter P (h::t)
  = if P h then h :: filter P t
    else filter P t ;
~  
> val 'a filter
  = fn : ('a -> bool) -> 'a list -> 'a list
```

# Fold

When **folding** a list, we compute a single value by folding each new list element into the result so far, with an initial value provided by the caller.

For  $a_i \in A$  ( $i = 1, \dots, n$ ),  $f : A \times B \rightarrow B$ , and  $b \in B$  we have

$$\boxed{\text{fold left}} \quad \text{foldl } f b [a_1, \dots, a_n] = f(a_n, \dots, f(a_1, b) \dots)$$

and

$$\boxed{\text{fold right}} \quad \text{foldr } f b [a_1, \dots, a_n] = f(a_1, \dots, f(a_n, b) \dots)$$

**NB:** `foldl` and `foldr` are built-in functionals; `foldl` is tail recursive but `foldr` is not.

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```

fun foldl f b [] = b
| foldl f b (h::t) = foldl f (f(h,b)) t ;
fun foldr f b [] = b
| foldr f b (h::t) = f( h , foldr f b t ) ;
~

"p06"

> val ('d, 'e) foldl
  = fn : ('d * 'e -> 'e) -> 'e -> 'd list -> 'e
> val ('d, 'e) foldr
  = fn : ('d * 'e -> 'e) -> 'e -> 'd list -> 'e

```

### Examples:

1. val addall = foldl op+ 0 ;
 val multall = foldl op\* 1 ;
 ~
 "p07"

```

> val addall = fn : int list -> int
> val multall = fn : int list -> int

```

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2. fun reverse l = foldl op:: [] l ;
 fun length l = foldl ( fn (\_,n)=> n+1 ) 0 l ;
 fun append l = foldr op:: l ;
 fun concat l = foldr op@ [] l ;
 fun map f = foldr ( fn (h,t) => (f h)::t ) [] ;
 ~
 "p07"

 > val 'a reverse = fn : 'a list -> 'a list
 > val 'a length = fn : 'a list -> int
 > val 'a append = fn : 'a list -> 'a list -> 'a list
 > val 'a concat = fn : 'a list list -> 'a list
 > val ('a, 'b) map
 = fn : ('a -> 'b) -> 'a list -> 'b list

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## Further list functionals

- ◆ fun takewhile P [] = []
 | takewhile P (h::t)
 = if P h then h :: takewhile P t else [] ;
 fun dropwhile P l
 = if null l then []
 else if P (hd l) then dropwhile P (tl l) else l ;
 ~
 "p08"
 > val 'a takewhile
 = fn : ('a -> bool) -> 'a list -> 'a list
 > val 'a dropwhile
 = fn : ('a -> bool) -> 'a list -> 'a list

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## Example

```
fun find P l
  = case dropwhile ( fn x => not(P x) ) l of
      [] => NONE
    | (h::_) => SOME h ;
~  
"p08"  
> val 'a find
  = fn : ('a -> bool) -> 'a list -> 'a option
```

```
◆ fun exists P [] = false
      | exists P (h::t) = (P h) orelse exists P t ;
fun all P [] = true
  | all P (h::t) = (P h) andalso all P t ;
~  
"p09"
> val 'a exists = fn : ('a -> bool) -> 'a list -> bool
> val 'a all = fn : ('a -> bool) -> 'a list -> bool
```

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## Examples:

```
infix isin ;
fun x isin l = exists (fn y => y = x) l ;
fun disjoint l1 l2
  = all (fn x => all (fn y => x<>y) l2) l1 ;
~  
"p09"  
> infix 0 isin
> val ''a isin = fn : ''a * ''a list -> bool
> val ''a disjoint
  = fn : ''a list -> ''a list -> bool
```

## Matrix multiplication

```
fun dotprod l1 l2
  = foldl op+ 0.0 ( map op* (ListPair.zip( l1 , l2 )) ) ;
fun matmult Rows1 Rows2
  = let
      val Cols2 = transp Rows2
    in
      map (fn row => map (dotprod row) Cols2) Rows1
    end ;
~  
"p10"
> val dotprod = fn : real list -> real list -> real
> val matmult = fn :
  real list list -> real list list -> real list list
```

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## Generating permutations

A combinatorial version of the factorial function:

```
fun permgen [] = [ [] ]
  | permgen l
  = let fun
      pickeach [] = []
      | pickeach (h::t)
        = (h,t) :: map (fn (x,l) => (x,h::l)) (pickeach t) ;
    in
      List.concat
        ( map (fn (h,l) => map (fn l => h::l) (permgen l))
          (pickeach l) )
    ~ end ;
"p11"
```

```
fun encode D
  = foldl op^ "" o map (str o (lookup D)) o explode ;
val decode
  = encode o map (fn (s,t) => (t,s)) ;
~
"p12"

> val encode
  = fn : (char * char) list -> string -> string
> val decode
  = fn : (char * char) list -> string -> string
```

## Simple substitution cipher

```
load"ListPair" ;
fun makedict s t
  = ListPair.zip( explode s , explode t) ;

> val makedict
  = fn : string -> string -> (char * char) list

fun lookup D x
  = case List.find (fn (s,t) => s=x) D of
      SOME(_,y) => y
      | NONE => x ;

> val ''a lookup = fn : (''a * ''a) list -> ''a -> ''a
```

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