

Theories as Categories

Recall from earlier:

algebraic theory $\xrightarrow{\quad} \text{Cartesian category } \mathbb{C}_{\mathbb{I}}$

The same construction applied to an equational theory in STLC yields a $\mathcal{C}\mathcal{C}\mathcal{C}$.

(Exponential of $\Gamma = [x_1 : A_1, \dots, x_n : A_n]$ & $\Delta = [y_1 : B_1, \dots, y_m : B_m]$ in $\mathbb{C}_{\mathbb{I}}$ is

$[f_1 : \vec{A} \rightarrow B_1, \dots, f_m : \vec{A} \rightarrow B_m]$, where

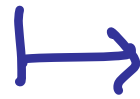
$\vec{A} \rightarrow B \triangleq A_1 \rightarrow (A_2 \rightarrow \dots \rightarrow (A_n \rightarrow B) \dots)$)

Theories as Categories

Recall from earlier:

algebraic theory

$\mathbb{I}$



Cartesian category

$\mathbb{C}_{\mathbb{I}}$

The same construction applied to an equational theory in STC yields a $\mathbb{C}\mathbb{C}$, but there's an equivalent & simpler construction...

Theories as Categories

algebraic theory
over STLC
 $\mathbb{I}$

$\mapsto$

C.C.C
 $\mathbb{C}_{\mathbb{I}}$

- objects of $\mathbb{C}_{\mathbb{I}}$ are types of $\mathbb{I}$
- morphisms $A \rightarrow B$ in $\mathbb{C}_{\mathbb{I}}$ are equivalence classes of closed terms $t : A \rightarrow B$ for equiv. relation $t \sim t'$ if $\vdash t = t' : A \rightarrow B$ is a $\mathbb{I}$ theorem

Curry-Howard-Lawvere

LOGIC

TYPE THEORY

CATEGORY TH.

propositions $\leftrightarrow$ types $\leftrightarrow$ objects

proofs $\leftrightarrow$ terms $\leftrightarrow$ morphisms

E.g. IPL vs STLC vs CCC's
proofs terms morphisms

Recall the derivation of $\varphi \Rightarrow \psi, \psi \Rightarrow \theta \vdash \varphi \Rightarrow \theta$ in IPL :

$$\begin{array}{c}
 \frac{}{\Phi \vdash \psi \Rightarrow \theta} (Ax) \qquad \frac{\frac{}{\Phi \vdash \psi \Rightarrow \psi} (Ax) \quad \frac{}{\Phi \vdash \varphi} (Ax)}{\Phi \vdash \psi} (\Rightarrow E) \\
 \hline
 \frac{\Phi \vdash \psi \Rightarrow \theta \quad \Phi \vdash \psi}{\Phi \vdash \theta} (\Rightarrow E) \\
 \hline
 \frac{\Phi \vdash \theta}{\varphi \Rightarrow \psi, \psi \Rightarrow \theta \vdash \varphi \Rightarrow \theta} (\Rightarrow I)
 \end{array}$$

$$(\Phi \triangleq \varphi \Rightarrow \psi, \psi \Rightarrow \theta, \varphi)$$

A corresponding STLC term:

$$\begin{array}{c}
 \frac{}{\Phi \vdash z:\psi \Rightarrow \theta} \text{(var)} \qquad \frac{\frac{}{\Phi \vdash y:\psi \Rightarrow \psi} \text{(var)} \quad \frac{}{\Phi \vdash x:\varphi} \text{(var)}}{\Phi \vdash yx:\psi} \text{(app)} \\
 \hline
 \Phi \vdash z(yx):\theta \text{(app)} \\
 \hline
 \Phi \vdash z(yx):\theta \\
 \hline
 y:\varphi \Rightarrow \psi, z:\psi \Rightarrow \theta \vdash \lambda x:\varphi. z(yx):\varphi \Rightarrow \theta \text{(}\lambda\text{)}
 \end{array}$$

$$\left(\Phi \triangleq [y: \varphi \Rightarrow \psi, \quad \exists z: \psi \Rightarrow \theta, \quad x: \varphi] \right)$$

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these correspondences can be made into categorical equivalences - need the notions of functor & natural transformation to define "equivalence" ...

→ E.g. IPL vs STLC vs CCC's
cut-free proofs terms morphisms

Functors

are the appropriate notion of morphism between categories. Given categories $\mathbb{C}$ & $\mathbb{D}$, a **functor** $F : \mathbb{C} \rightarrow \mathbb{D}$ is specified by :

- a function $\text{Obj } \mathbb{C} \rightarrow \text{Obj } \mathbb{D}$ whose value at a $\mathbb{C}$ -object X is written FX
- for all $\mathbb{C}$ -objects X & Y , a function $\mathbb{C}(X, Y) \rightarrow \mathbb{D}(FX, FY)$ whose value at a $\mathbb{C}$ -morphism $f : X \rightarrow Y$ is written $Ff : FX \rightarrow FY$

satisfying $\left\{ \begin{array}{l} F(g \circ f) = Fg \circ Ff \\ F(\text{id}_X) = \text{id}_{FX} \end{array} \right.$

Examples of functors

"forgetful" functors from categories of sets-with-structure back to Set

E.g. $U : \text{Mon} \rightarrow \text{Set}$

$$\begin{cases} U(M, \cdot, e) \triangleq M \\ U((M, \cdot, e) \xrightarrow{f} (N, \cdot, e)) \triangleq M \xrightarrow{f} N \end{cases}$$

and similarly $U : \text{Pre} \rightarrow \text{Set}$

Examples of functors

Free monoid functor

$$F : \text{Set} \rightarrow \text{Mon}$$

Recall free monoid on a set Σ is

$$(\text{List}(\Sigma), @, \text{nil})$$

empty list

finite lists of
elements of Σ

list concatenation

Examples of functors

Free monoid functor

$$F : \text{Set} \rightarrow \text{Mon}$$

Recall free monoid on a set Σ is

$$F(\Sigma) \triangleq (\text{List}(\Sigma), @, \text{nil})$$

Given $f \in \text{Set}(\Sigma_1, \Sigma_2)$ we get

$$F(f) : F(\Sigma_1) \rightarrow F(\Sigma_2) \quad \text{mapping each list } l = [a_1, \dots, a_n] \in \Sigma_1^* \text{ to } Ff l \triangleq [fa_1, \dots, fa_n]$$

$$\begin{aligned} \text{Easy to see that } F(\text{id}_\Sigma) &= \text{id}_{F(\Sigma)} \text{ \& } \\ F(g \circ f) &= (Fg) \circ (Ff) \end{aligned}$$

Examples of functors

If $\mathcal{C}$ is a cartesian category and $X \in \text{Obj } \mathcal{C}$, then

$$Y \in \text{Obj } \mathcal{C} \mapsto Y \times X$$

extends to a functor

$$(-) \times X : \mathcal{C} \rightarrow \mathcal{C}$$

$$\text{via } (Y \xrightarrow{f} Y') \mapsto (Y \times X \xrightarrow{f \times \text{id}_X} Y' \times X)$$

$$\text{since } \begin{cases} \text{id}_Y \times \text{id}_X = \text{id}_{Y \times X} \\ (g \circ f) \times \text{id}_X = (g \times \text{id}_X) \circ (f \times \text{id}_X) \end{cases}$$

[see Ex. Sh. 2, q. 1c]

Examples of functors

If $\mathbb{C}$ is a cartesian closed category and $X \in \text{Obj } \mathbb{C}$, then

$$Y \in \text{Obj } \mathbb{C} \mapsto Y^X$$

extends to a functor

$$(-)^X : \mathbb{C} \rightarrow \mathbb{C}$$

$$\text{via } (Y \xrightarrow{f} Y') \mapsto (Y^X \xrightarrow{f^X} Y'^X)$$

$$\parallel \text{cur}(f \circ \text{app})$$

$$\text{Since } \begin{cases} \text{id}^X = \text{id} \\ (g \circ f)^X = g^X \circ f^X \end{cases}$$

[see Ex. Sh. 3, q 7]

Contravariance

A functor $F : \mathbb{C}^{\text{op}} \rightarrow \mathbb{D}$ is called a
contravariant functor from $\mathbb{C}$ to $\mathbb{D}$

Note that if $X \xrightarrow{f} Y \xrightarrow{g} Z$ in $\mathbb{C}$
then $X \xleftarrow{f} Y \xleftarrow{g} Z$ in $\mathbb{C}^{\text{op}}$

so

$$FX \xleftarrow{Ff} FY \xleftarrow{Fg} FZ \quad \text{in } \mathbb{D}$$



$$F(g \circ f) = Ff \circ Fg$$

composition in $\mathbb{C}$

composition
in $\mathbb{D}$

Example of contravariant functor

If $\mathcal{C}$ is a cartesian closed category and $X \in \text{Obj } \mathcal{C}$, then

$$Y \in \text{Obj } \mathcal{C} \mapsto X^Y$$

extends to a functor

$$X^{(-)} : \mathcal{C}^{\text{op}} \rightarrow \mathcal{C}$$

$$\text{via } (Y \xrightarrow{f} Y') \mapsto \left(X^Y \xleftarrow{X^f} X^{Y'} \right)$$

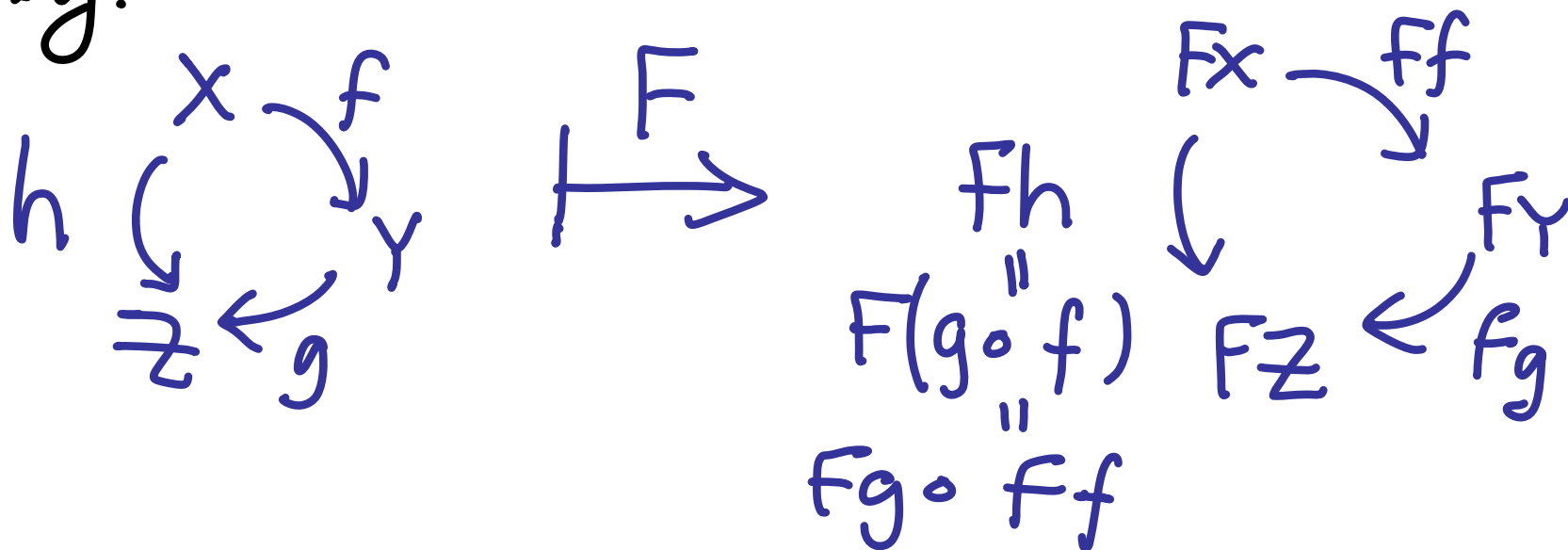
$\parallel$
 $\text{Cur}(\text{app} \circ (\text{id} \times f))$

$$\text{Since } \begin{cases} X^{\text{id}} = \text{id} \\ X^{g \circ f} = X^f \circ X^g \end{cases}$$

[see Ex. Sh. 3, 98]

Note that since a functor $F: \mathbb{C} \rightarrow \mathbb{D}$ preserves domains, codomains, composition it sends commutative diagrams in $\mathbb{C}$ to commutative diagrams in $\mathbb{D}$

E.g.



Note that since a functor $F: \mathbb{C} \rightarrow \mathbb{D}$ preserves domains, codomains, composition & identities, it sends isomorphisms in $\mathbb{C}$ to isos in $\mathbb{D}$ because

$$\begin{array}{ccc}
 \begin{array}{ccc}
 X & \xrightarrow{f} & Y \\
 \text{id}_X \searrow & & \downarrow g \\
 & X & \xrightarrow{f} Y
 \end{array}
 & \xrightarrow{F} &
 \begin{array}{ccc}
 FX & \xrightarrow{Ff} & FY \\
 \text{id}_{FX} \searrow & & \downarrow Fg \\
 & FX & \xrightarrow{Ff} FY
 \end{array}
 \end{array}$$

id_Y (from Y to Y)
 id_{FY} (from FY to FY)

So $F(f^{-1}) = (Ff)^{-1}$

Composing functors

Given functors

$$\mathbb{C} \xrightarrow{F} \mathbb{D} \xrightarrow{G} \mathbb{E}$$

we get a functor

$$G \circ F: \mathbb{C} \rightarrow \mathbb{E}$$

$$G \circ F \left(\begin{array}{c} X \\ \downarrow f \\ Y \end{array} \right) \triangleq \begin{array}{c} G(FX) \\ \downarrow G(f) \\ G(FY) \end{array}$$

(this preserves composition & identities because F & G do so)

Identity functor

on a category $\mathcal{C}$ is

$$\text{Id}_{\mathcal{C}} : \mathcal{C} \rightarrow \mathcal{C}$$

where

$$\text{Id}_{\mathcal{C}} \left(\begin{array}{c} X \\ \downarrow f \\ Y \end{array} \right) \triangleq$$

$$\begin{array}{c} X \\ \downarrow f \\ Y \end{array}$$

Functor composition satisfies the usual
Category laws

associativity

$$H \circ (G \circ F) = (H \circ G) \circ F$$

unity

$$\text{Id}_D \circ F = F = F \circ \text{Id}_C$$

So we can get categories whose
objects are categories
morphisms are functors

but we have to be a bit careful about size...