

# Overview of Natural Language Processing

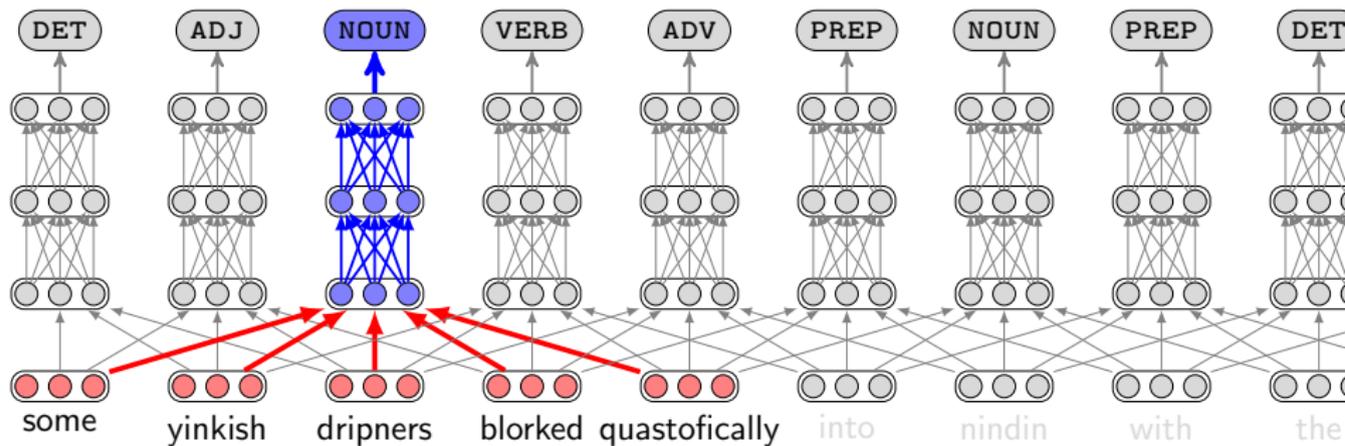
## Part II & ACS L390

### Lecture 8: Word Representations

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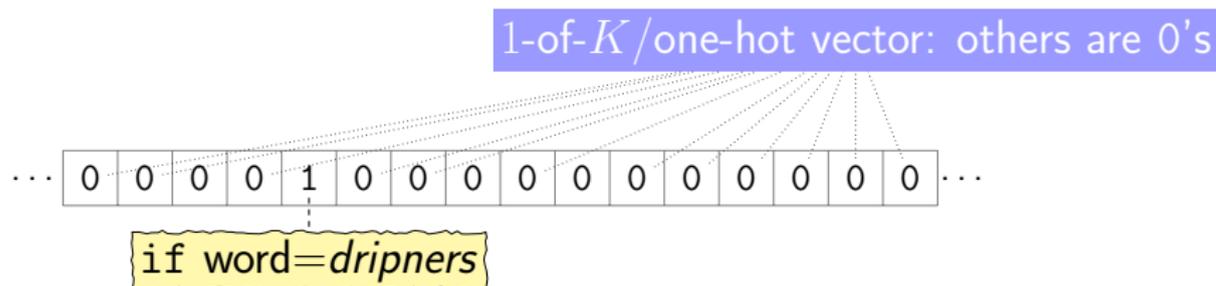
the first step: vectorizing words

## Lecture 8: Word Representations

1. Getting distributions from text
2. Count-based approach
3. Low-rank approximation
4. Prediction-based approach

## Getting Distributions from Text

# Naive representation



- The vast majority of rule-based, statistical and neural NLP systems regard words as atomic symbols:  
*email, Cambridge, study*
- This is a vector with one 1 and a lot of 0's  
 $[0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 1\ 0\ 0\ 0\ 0]$  in  $\mathbb{R}^{|\text{vocabulary}|}$ .
- Dimensionality is very large: 50K (Penn TreeBank), 13M (Google 1T)

## The general intuition

*it was authentic **nindin**, rather sharp and very strong  
we could taste a famous local product — **nindin**  
spending hours in the pub drinking **nindin***

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- Use linguistic context to represent word and phrase meaning (partially).
- Meaning space with dimensions corresponding to elements in the context (*features*).
- Most computational techniques use vectors, or more generally tensors: aka *semantic space models*, *vector space models*, *embeddings*.

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## Distributional representation

[0 0 0 0 0 0 0 0 0 0 1 0 0 0 0] ▷ sparse



[0.456 0.193 5.39 1.235 -93.0] ▷ dense

E.g. *nindin* [..., *pub* 0.8, *drink* 0.7, *strong* 0.4, *joke* 0.2, *mansion* 0.02, *zebra* 0.1, ...]

# The distributional hypothesis

*You shall know a word by the company it keeps.*

*the complete meaning of a word is always contextual, and no study of meaning apart from context can be taken seriously.*

*John Firth (1957) A synopsis of linguistic theory*

*distributional statements can cover all of the material of a language without requiring support from other types of information.*

*Zellig Harris (1954) Distributional structure*

# Count-Based Approaches

## Contexts

Word windows (unfiltered):  $n$  words on either side of the lexical item.

Example:  $n = 2$  (5 words window)

*The prime **minister** acknowledged the question.*

*minister*

[ the 2, prime 1, acknowledged 1, question 0 ]

## Contexts

Word windows (unfiltered):  $n$  words on either side of the lexical item.

Example:  $n = 1$  (3 words window)

The *prime minister acknowledged* the question.

*minister*

[ the 2, prime 1, acknowledged 1, question 0 ]

[ prime 1, acknowledged 1, question 0 ]

## Contexts

Word windows (unfiltered):  $n$  words on either side of the lexical item.

Example:  $n = 2$  (5 words window)

The prime *minister* acknowledged the question.

*minister* [ the 2, prime 1, acknowledged 1, question 0 ]  
[ prime 1, acknowledged 1, question 0 ]  
*+stop list* [ ~~the~~-2, prime 1, acknowledged 1, question 0 ]  
*the* and *of* may be not informative

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+lemmatization	[ prime 1, <b>acknowledge</b> 1, question 0 ]

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The size of windows depends on your goals

- Shorter windows  $\Rightarrow$  more **syntactic** representation
- Longer windows  $\Rightarrow$  more **semantic** representation

## Problem with raw counts

Raw word frequency is not a great measure of association between words  
*the* and *of* are very frequent, but maybe not the most discriminative

## Pointwise mutual information

Information-theoretic measurement: Do events  $x$  and  $y$  co-occur more than if they were independent?

$$PMI(X, Y) = \log \frac{P(x, y)}{P(x) \cdot P(y)}$$

## Positive PMI

It is not clear people are good at *unrelatedness*. So we just replace negative PMI values by 0

## An example

	computer	data	pinch	result	sugar	
apricot	0	0	1	0	1	
pineapple	0	0	1	0	1	
digital	2	1	0	1	0	
information	1	6	0	4	0	

## An example

	computer	data	pinch	result	sugar	
apricot	0.00	0.00	0.05	0.00	0.05	
pineapple	0.00	0.00	0.05	0.00	0.05	
digital	0.11	0.05	0.00	0.05	0.00	
information	0.05	0.32	0.00	0.21	0.00	

## An example

	computer	data	pinch	result	sugar	p(word)
apricot	0.00	0.00	0.05	0.00	0.05	0.11
pineapple	0.00	0.00	0.05	0.00	0.05	0.11
digital	0.11	0.05	0.00	0.05	0.00	0.21
information	0.05	0.32	0.00	0.21	0.00	0.58

## An example

	computer	data	pinch	result	sugar	p(word)
apricot	0.00	0.00	0.05	0.00	0.05	0.11
pineapple	0.00	0.00	0.05	0.00	0.05	0.11
digital	0.11	0.05	0.00	0.05	0.00	0.21
information	0.05	0.32	0.00	0.21	0.00	0.58
p(context)	0.16	0.37	0.11	0.26	0.11	

- Matrix: words  $\times$  contexts
- $f_{ij}$  is # of times  $w_i$  occurs in context  $c_j$

## An example

	computer	data	pinch	result	sugar	p(word)
apricot			2.25		2.25	0.11
pineapple			2.25		2.25	0.11
digital	1.66	0.00		0.00		0.21
information	0.00	0.57		0.00		0.58
p(context)	0.16	0.37	0.11	0.26	0.11	

- Matrix: words  $\times$  contexts
- $f_{ij}$  is # of times  $w_i$  occurs in context  $c_j$

## Using syntax to define a word's context

*The meaning of entities, and the meaning of **grammatical relations** among them, is related to the restriction of combinations of these entities relative to other entities.*

*Zellig Harris (1968)*

- Two words are similar if they have similar syntactic contexts
- *duty* and *responsibility* have similar syntactic distribution:
  - **Modified by adjectives:** additional, administrative, assumed, collective, congressional, constitutional, ...
  - **Objects of verbs:** assert, assign, assume, attend to, avoid, become, breach, ...

## Context based on dependency parsing (1)

I have a brown dog

(*have* subj *I*), (*I* subj-of *have*), (*dog* obj-of *have*), (*dog* adj-mod *brown*),  
(*brown* adj-mod-of *dog*), (*dog* det *a*), (*a* det-of *dog*)

### The description of *cell*

COUNT(*cell*, subj-of, *absorb*)=1

COUNT(*cell*, subj-of, *adapt*)=1

COUNT(*cell*, subj-of, *behave*)=1

...

COUNT(*cell*, pobj-of, *in*)=159

COUNT(*cell*, pobj-of, *inside*)=16

COUNT(*cell*, pobj-of, *into*)=30

...

## Cosine similarity

Given two target words/phrases/sentences/paragraphs/..., we'll need a way to measure their *similarity*.

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- Cosine of angle is easy to compute.

$$\cos(u, v) = \frac{u^T v}{\|u\| \cdot \|v\|} = \frac{\sum_{i=1}^n u_i \cdot v_i}{\sqrt{\sum_{i=1}^n u_i \cdot u_i} \cdot \sqrt{\sum_{i=1}^n v_i \cdot v_i}}$$

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- $\cos = 0$  means angle is  $90^\circ$ , i.e. very dissimilar

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- $\cos = 1$  means angle is  $0^\circ$ , i.e. very similar
- $\cos = 0$  means angle is  $90^\circ$ , i.e. very dissimilar
- Many other methods to compute similarity

## Context based on dependency parsing (2)

### hope (N):

optimism 0.141, chance 0.137, expectation 0.136, prospect 0.126, dream 0.119, desire 0.118, fear 0.116, effort 0.111, confidence 0.109, promise 0.108

### hope (V):

would like 0.158, wish 0.140, plan 0.139, say 0.137, believe 0.135, think 0.133, agree 0.130, wonder 0.130, try 0.127, decide 0.125

### brief (N):

legal brief 0.139, affidavit 0.103, filing 0.098, petition 0.086, document 0.083, argument 0.083, letter 0.079, rebuttal 0.078, memo 0.077

### brief (A):

lengthy 0.256, hour-long 0.191, short 0.173, extended 0.163, frequent 0.162, recent 0.158, short-lived 0.155, prolonged 0.149, week-long 0.149

## Reference

Dekang Lin. 1998. Automatic Retrieval and Clustering of Similar Words.

# Problems

## Similarity = synonymy?

- Antonyms are basically as distributionally similar as synonyms:
- Distributional similarity is not referential similarity.
- Distinguishing synonyms from antonyms is notoriously hard problem.

brief (A):

lengthy 0.256, hour-long 0.191, short 0.173, extended 0.163, frequent 0.162, recent 0.158, short-lived 0.155, prolonged 0.149, week-long 0.149, occasional 0.146

# Dimension reduction

# Dimension reduction

## Idea

Approximate an  $N$ -dimensional dataset using fewer dimensions

- By first rotating the axes into a new space
- In which the highest order dimension captures the most variance in the original dataset
- And the next dimension captures the next most variance, etc.
- Many such (related) methods, e.g. principle components analysis.

Dimension reduction: vector  $x \Rightarrow$  FUNCTION  $\Rightarrow$  vector  $z$

# PCA (1)

Use linear transformation

$$\text{PCA: } z = Wx$$

Reduce to 1-D, i.e. project  $x$  on to a line through the origin:

$$z_1 = w_1^\top x$$

We want the variance of coefficient  $z_1$  as large as possible,

$$\text{Var}(z_1) = \frac{1}{N} \sum_{i=1}^N (z_{1,i} - \bar{z}_1)^2$$

subject to  $\|w_1\| = 1$

## PCA (2)

Reduce to 2-D:

$$z_1 = w_1^\top x$$

$$z_2 = w_2^\top x$$

We want the variance of  $z_1$  as large as possible,

$$\text{Var}(z_1) = \frac{1}{N} \sum_{i=1}^N (z_{1,i} - \bar{z}_1)^2$$

We also want the variance of  $z_2$  as large as possible,

$$\text{Var}(z_2) = \frac{1}{N} \sum_{i=1}^N (z_{2,i} - \bar{z}_2)^2$$

subject to  $w_1^\top w_2 = 0$

## PCA (3)

$$\begin{aligned} \text{Var}(z_1) &= \frac{1}{N} \sum_{i=1}^N (z_{1,i} - \bar{z}_1)^2 \\ &= \frac{1}{N} \sum_{x_i} (w_1^\top x_i - w_1^\top \bar{x})^2 \\ &= \frac{1}{N} \sum_{x_i} (w_1^\top (x_i - \bar{x}))^2 \\ &= \frac{1}{N} \sum_{x_i} (w_1^\top (x_i - \bar{x})(x_i - \bar{x})^\top w_1) \\ &= w_1^\top \left( \frac{1}{N} \sum_{x_i} (x_i - \bar{x})(x_i - \bar{x})^\top \right) w_1 \\ &= w_1^\top S w_1 \end{aligned}$$

## PCA (4)

$$\begin{aligned} \max. \quad & w_1^\top S w_1 \\ \text{s.t.} \quad & w_1^\top w_1 = 1 \end{aligned} \tag{1}$$

$S$  is symmetric positive-semidefinite (non-negative eigenvalues)

Using Lagrange multiplier

$$\mathcal{L}(w_1, \alpha) = w_1^\top S w_1 - \alpha(w_1^\top w_1 - 1)$$

We get

$$S w_1 - \alpha w_1 = 0$$

$w_1$ : eigenvector

$$w_1^\top S w_1 = \alpha w_1^\top w_1$$

Choose the maximum largest eigenvalue  $\lambda_1$

## PCA (5)

$$\begin{aligned} \max. \quad & w_2^\top S w_2 \\ \text{s.t.} \quad & w_2^\top w_2 = 1, \quad w_2^\top w_1 = 0 \end{aligned} \tag{2}$$

Using Lagrange multiplier

$$\mathcal{L}'(w_2, \alpha, \beta) = w_2^\top S w_2 - \alpha(w_2^\top w_2 - 1) - \beta(w_2^\top w_1)$$

calculate the gradient,

$$2S w_2 - 2\alpha w_2 - \beta w_1 = 0$$

$$w_1^\top 2S w_2 - 2\alpha w_1^\top w_2 - \beta w_1^\top w_1 = 0$$

$$w_1^\top w_2 = w_2^\top S^\top w_1 = w_2^\top S w_1 = w_2^\top \lambda_1 w_1 = 0$$

So  $\beta = 0$ . And again, we get  $S w_2 = \alpha w_2$ .  $w_2$  is another eigenvector.

# Dimensionality reduction

## Why dense vectors?

- Short vectors may be easier to use as features in machine learning
- Dense vectors may generalize better than storing explicit counts

Dense embeddings sometimes work better than sparse PMI matrices at tasks like word similarity

- Denoising: low-order dimensions may represent unimportant information
- Truncation may help the models generalize better to unseen data.

# Prediction-Based Word Embedding

# Main idea of word2vec

## A simple and fast model: word2vec

Set up a prediction task between every word and its context words (in either direction; two different algorithms:

- Skip-grams (SG)  
Predict context words given target (position independent)
- Continuous Bag of Words (CBOW)  
Predict target word given bag-of-words context

## Reference

Tomas Mikolov, Kai Chen, Greg Corrado and Jeffrey Dean. 2013. Efficient Estimation of Word Representations in Vector Space.

# Skip-gram prediction (1)

Predict context words given target (position independent)

window size=2

The course covers **methods for trees, sequences and** words.

center word **trees**

context words **methods, for, sequences, and**

Predicting	$p(w_{t-2} w_t)$	$p(\text{methods} \text{trees})$
	$p(w_{t-1} w_t)$	$p(\text{for} \text{trees})$
	$p(w_{t+1} w_t)$	$p(\text{sequences} \text{trees})$
	$p(w_{t+2} w_t)$	$p(\text{and} \text{trees})$

## Skip-gram prediction (2)

**Objective function:** Maximize the probability of any context word given the current center word:

$$J'(\theta) = \prod_{t=1}^T \prod_{\substack{-m \leq j \leq m \\ j \neq 0}} p(w_{t+j} | w_t; \theta)$$

**Negative log likelihood:**

$$J(\theta) = -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \log p(w_{t+j} | w_t; \theta)$$

**Define  $p(w_{t+j} | w_t)$  as:**

$$p(o|c) = \frac{\exp(u_o^\top v_c)}{\sum_{w=1}^{|V|} \exp(u_w^\top v_c)}$$

## Skip-gram prediction (3)

$$p(w_{t+j}|w_t)$$

$$p(o|c) = \frac{\exp(u_o^\top v_c)}{\sum_{w=1}^{|V|} \exp(u_w^\top v_c)}$$

Every word has **two vectors**. This makes modeling simpler:

- $o$  is the output word index,  $c$  is the center word index
- $v_c$  and  $u_o$  are *center* and *outside* vectors of indices  $c$  and  $o$

**Softmax function:** Map from  $\mathbb{R}^{|V|}$  to a probability distribution.

- $u_w^\top v_c$  is bigger if  $u_w$  and  $v_c$  are more similar
- Iterate over the vocabulary.

## Skip-gram prediction (4)

All parameters in this model can be viewed as one long vector:

$u_a, u_{\text{aardvark}}, \dots, u_{\text{zymurgy}},$

$v_a, v_{\text{aardvark}}, \dots, v_{\text{zymurgy}}$

- $u$  and  $v$ :  $d$ -dimensional vectors
- $\theta: \mathbb{R}^{2d|V|}$

Optimize these parameters:

$$J(\theta) = -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \log \frac{\exp(u_{w_{t+j}}^\top v_{w_t})}{\sum_{w=1}^{|V|} \exp(u_w^\top v_{w_t})}$$

$|V|$  is too large  $\rightarrow$  Negative sampling

# Reading

- Chapter 6: Vector Semantics and Embeddings. D Jurafsky and J Martin. *Speech and Language Processing*  
[web.stanford.edu/~jurafsky/slp3/6.pdf](http://web.stanford.edu/~jurafsky/slp3/6.pdf)
- \* Essence of linear algebra  
[www.youtube.com/watch?v=fNk\\_zzaMoSs&list=PLZHQObOWTQDPD3MizzM2xVFitgF8hE\\_ab](http://www.youtube.com/watch?v=fNk_zzaMoSs&list=PLZHQObOWTQDPD3MizzM2xVFitgF8hE_ab)