

# Sledgehammer: a Saga

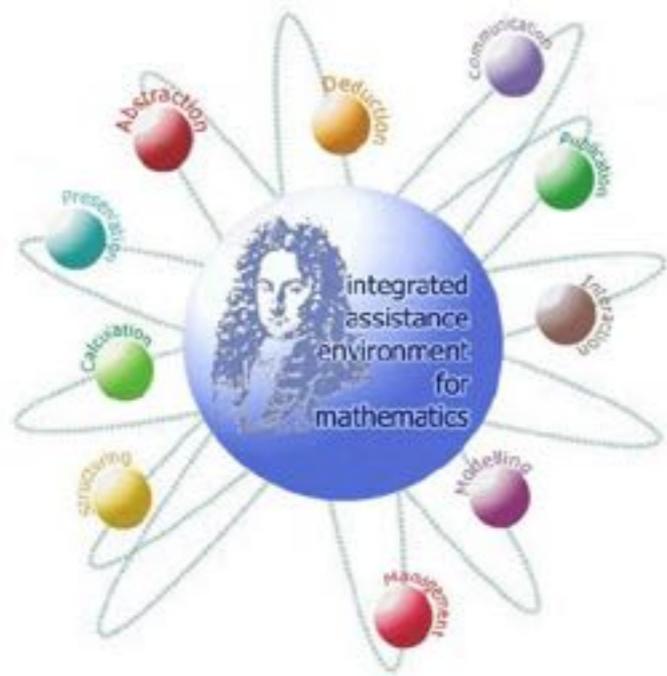
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Vampire Workshop, 1 July 2024, Nancy, France

Origins

- A suggestion by Andrei Voronkov (at IJCAR 2001 in Siena?): let's combine Isabelle with a **real** theorem prover
- Meetings with Weidenbach and Siekmann in Saarbrücken
- A grant of £249,905 starting in January 2004
- ... and a report of early results that July, at IJCAR 2004
- First release early in 2007, integrating Isabelle with E, SPASS, Vampire

# Some precursors and influences



$\Omega$ mega (Siekmann, Benz Müller et al.)

KIV +  $_3$ TAP (Ahrendt, Beckert et al.)

Integrating Gandalf and HOL (Hurd)

Coq + Bliksem (Bezem et al.)

Unfortunately, they demanded  
**too much work** from the user

“Now that 2GHz processors are commonplace, we should abandon the traditional mode of interaction, where the proof tool does nothing until the user types a command. Background processes ... should try to prove the outstanding subgoals.”

[from the original proposal, 2003]

# Original design criteria

- **Easy invocation** (1-click, or even 0-click)
- **Automatic translation** from higher-order logic to first-order logic
- Instant access to the **entire lemma library**, with relevance checking
- Result as a **proof certificate**
  - To avoid having to **rerun** the search
  - To avoid **trusting external tools**

First Working Prototype

# The tasks

Relevance filtering

Translating to FOL:  
types

Translating to FOL:  
 $\lambda$ -bindings

Proof reconstruction

# Relevance filtering

[AKA *premise selection*]

- An Isabelle session may have 10,000+ accessible facts
- Theorem provers (at that time) could cope with a couple of hundred
- Relevance may be more obvious to the interactive prover (cf KIV)
- We adopted a crude approach based on **symbol occurrences**

# Translating to FOL: types

A fully typed translation is **heavy** (quadratic),  
burying the formulas themselves

$$E = mc^2$$

$$((=)E)(\times m(\uparrow c^2))$$

So I adopted a **partially typed translation** (unsound!)

... handling **polymorphism** and **type classes**

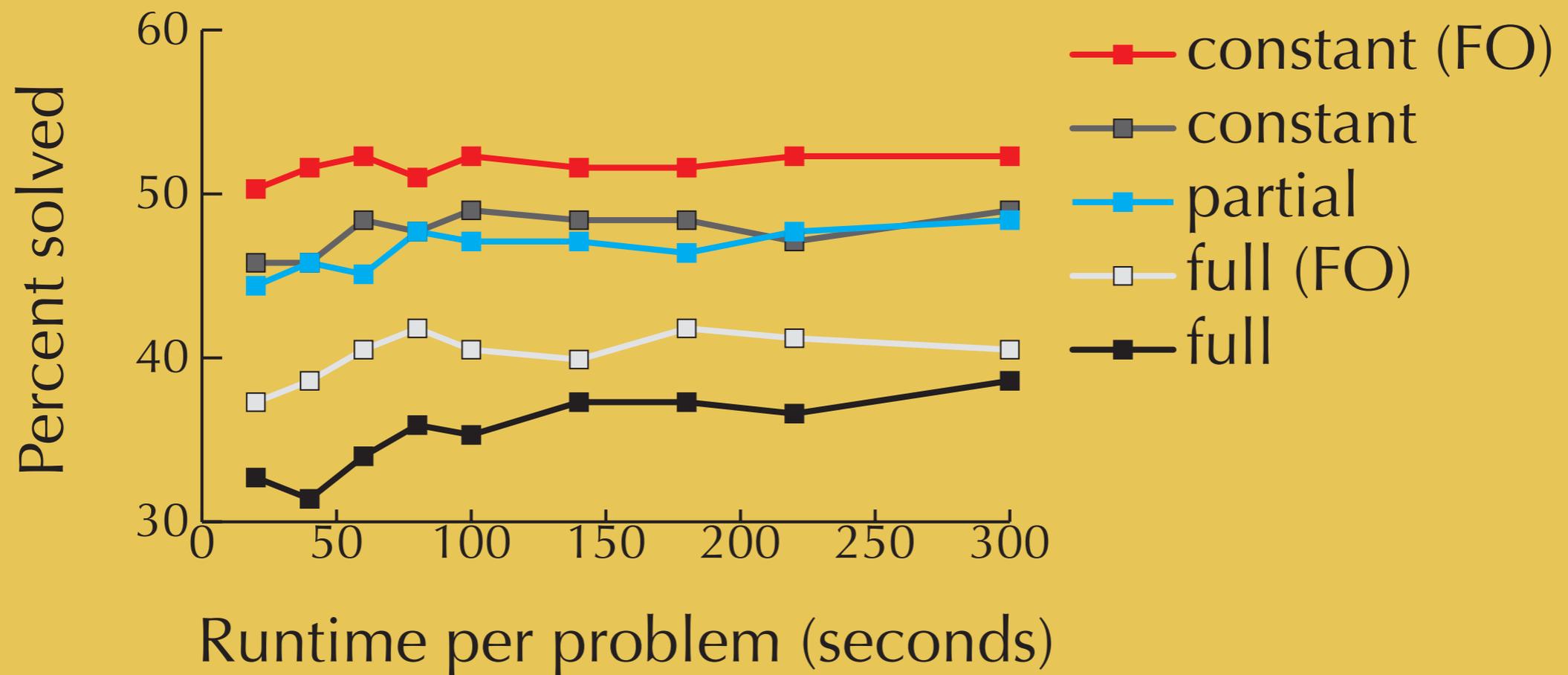
[Joe Hurd had success with a **completely typeless** translation]

# Translating to FOL: $\lambda$ -bindings

- Translation approaches (*neither works well!*) include:
  1. **Combinator** form S, K, I, B, C, ...
  2.  **$\lambda$ -lifting** (generating new function definitions)
- Have an explicit “apply” function and “is true” predicate for booleans, but **full higher-order reasoning** is not possible
- All of this omitted if the problem is **fully first-order**; in fact a “smooth” translation is possible

# Thousands of hours of testing

Here we compare various translations by % problems solved



# Proof reconstruction

Proofs given by ATPs are too ambiguous to use

So we decided to use ATPs as powerful **relevance filters**

From the proof we extract nothing but the fact names

... giving them to one of Isabelle's own proof tools

Hurd's **metis**, a superposition prover integrated with the kernel

# Working by February 2007

emacs: Test.thy

File Edit View Cmds Tools Options Buffers Proof-General Isabelle Help

State Context Retract Undo Next Use Goto Find Command Stop Restart Help

Test.thy | Ring\_and\_Field.thy | Classical.thy | "response" | "goals" | Big0.thy |

```
lemma "[| b < a; 0 < c |] ==> -a * c < - (c * (b::int))"
```

```
__**_XEmacs: Test.thy (Isar script PenDel Font Abbrev; Scripting)----36
```

Subgoal 1: Success. Try this command:  
apply (metis mult\_strict\_right\_mono neg\_less\_iff\_less zmult\_commute zmult2\_zminus)

$[| b < a; 0 < c |] \implies - a * c < - (c * b)$

[Isabelle] Subgoal 1: Success. Try this command:

$$b < a \longrightarrow 0 < b \longrightarrow (-a) \times c < - (c \times b)$$

isn't hard, but requires four separate facts

# Also with single-step proofs

emacs: Test.thy

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State Context Retract Undo Next Use Goto Find Command Stop Restart Help

Test.thy | BigO.thy |

```
lemma "[| b < a; 0 < c |] ==> - a * c < - (c * (b :: int))"
```

ISO8--\*\*\_XEmacs: Test.thy (Isar script XS:isabelle/s PenDel Font Abbrev)

```
Subgoal 1: Success.
proof (neg_clausify)
  assume 0: "b < a"
  assume 1: "0 < c"
  assume 2: "¬ - a * c < - (c * b)"
  have 3: "∧ x1 x2. Numeral.Min * x1 < - x2 ∨ ¬ x2 < x1"
    by (metis neg_less_iff_less mult_Min)
  have 4: "¬ c * b < c * a"
    by (metis 3 zmult_commute zmult_assoc mult_Min 2)
  have 5: "¬ b < a"
    by (metis 4 zmult_zless_mono2 1)
  show "False"
    by (metis 5 0)
```

```
[[ b < a; 0 < c ] ==> - a * c < - (c * b)
```

Others Take Over

# Issues with the prototype

## **Unsound translations**

(resulting in worthless “proofs”)

## **Simplistic methods**

(esp. relevance filtering)

**Truly horrible code**

# The all-new sledgehammer

- A family of efficient, sophisticated and **sound** translations for monomorphic and polymorphic types
- An **ML based** relevance filter for premise selection
- Additional external provers, notably **SMT solvers** such as Z3
- ... justified by additional internal provers, including Isabelle's Z3

*The work of Jasmin Blanchette, Sascha Böhme and Tobias Nipkow*

Running three different theorem provers (E, SPASS and Vampire) each for **five seconds** solves as many problems as running the best theorem prover (Vampire) for **two full minutes**.

# Higher-order superposition

- An effective alternative to translating  $\lambda$ -calculus into first-order logic
- a **sound and complete calculus** for higher-order logic with polymorphism, extensionality, Hilbert choice, and Henkin semantics
- And a **term ordering** to limit the search space
- And an implementation! **Zipperposition** outperforms all other higher-order theorem provers

*The work of Bentkamp, Blanchette, Tournet, Vukmirovic*

# Giving back to the ATP community

*(By verifying their theoretical canon)*

A verified SAT solver framework with learn, forget, restart, and incrementality

A verified prover based on ordered resolution

Formalizing Bachmair and Ganzinger's ordered resolution prover

Formalized superposition

Impact

# Synergy with structured proofs

*Every line justified by sledgehammer!*

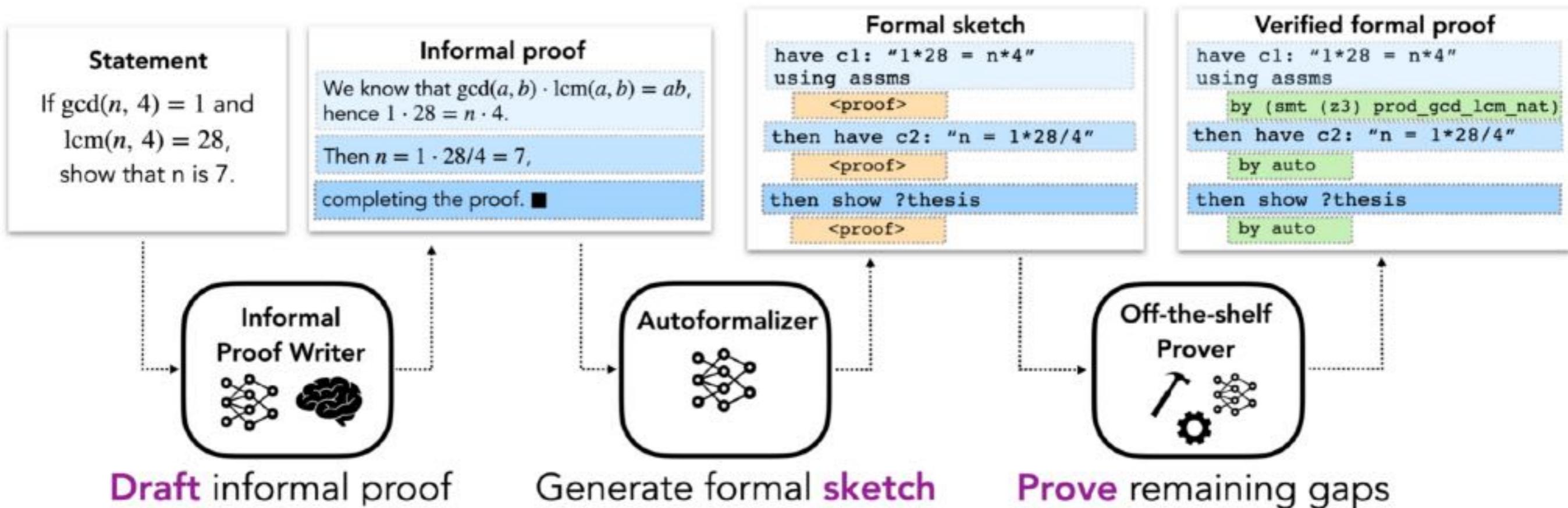
```
lemma "sqrt 2  $\notin$   $\mathbb{Q}$ "
proof
  assume "sqrt 2  $\in$   $\mathbb{Q}$ "
  then obtain q::rat where "sqrt 2 = of_rat q"
    using Rats_cases by blast
  then have "q2 = 2"
    by (metis abs_numeral of_rat_eq_iff of_rat_numeral_eq of_rat_power real_sqrt_abs
      real_sqrt_power)
  then obtain m n where "coprime m n" "q = of_int m / of_int n"
    by (metis Fract_of_int_quotient Rat_cases)
  then have "(rat_of_int m)2 / (rat_of_int n)2 = 2"
    by (metis <q2 = 2> power_divide)
  then have 2: "(rat_of_int m)2 = 2 * (rat_of_int n)2"
    by (metis div_by_0 nonzero_mult_div_cancel_right times_divide_eq_left zero_neq_numeral)
  then have "2 dvd m"
    by (metis (mono_tags, lifting) even_mult_iff even_numeral of_int_eq_iff of_int_mult
      of_int_numeral power2_eq_square)
  then have "22 dvd m2"
    using dvd_power_same by blast
  then have "2 dvd n"
    by (metis "2" even_mult_iff of_int_eq_iff of_int_mult of_int_numeral power2_eq_square
      zdvd_mono zero_neq_numeral)
  then show False
    using <coprime m n> <even m> by auto
qed
```

... hence, easier for beginners

- No more memorising lists of built-in facts
- No more learning obscure tactics for pushing symbols around
- The key skill: **thinking up intermediate goals**
- Given the proof structure, *Sledgehammer does the rest!*

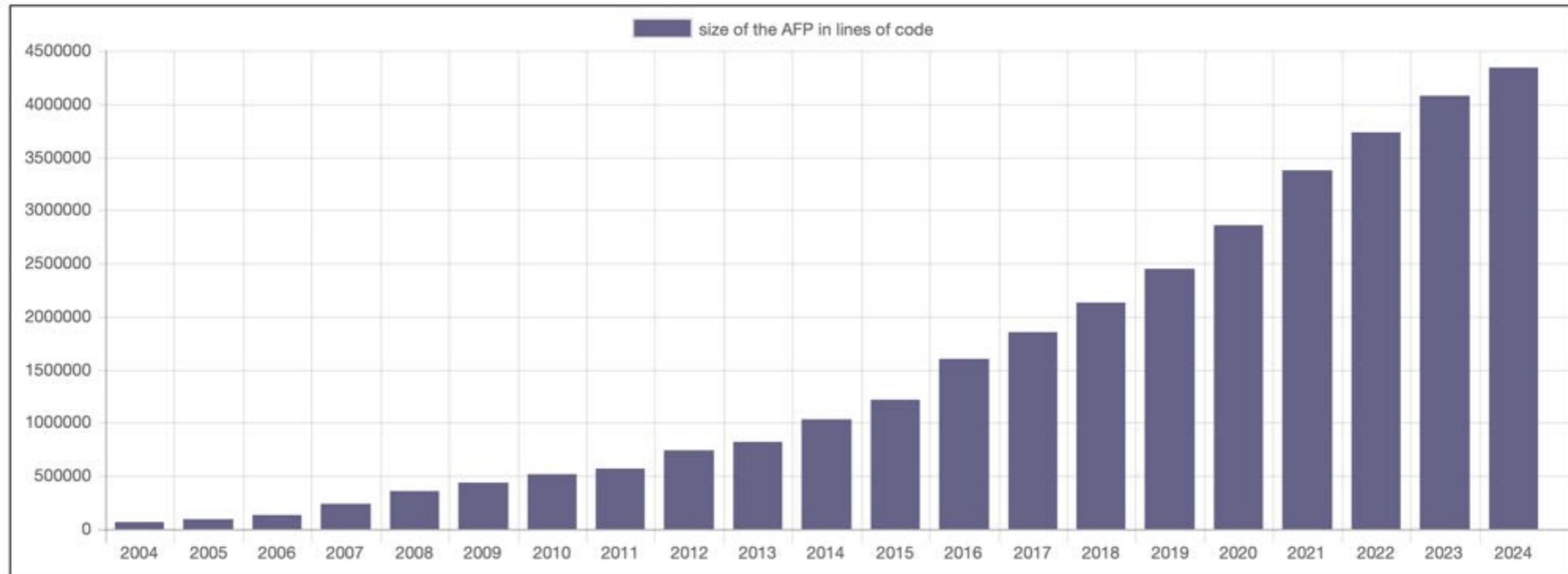
# Turning English into proofs using AI

Draft, sketch and prove: Jiang et al.



# Strong growth in lines of code

Isabelle's Archive of Formal Proofs



↑  
SH

↑  
SH mk II

# New applications for ATPs themselves

A limitless supply of users  
with tough problems

Motivation for extensions such  
as types and polymorphism

Strong justification for automating  
higher-order logic, e.g. in CVC and E

And other hammers, notably for HOL,  
Coq and Lean (forthcoming)

# Hopes for the future

- Strong support for problems involving  **$\lambda$ -binding**
- Genuine, powerful **higher-order reasoning**
- **Hints to users**, say about possibly missing assumptions or lemmas
- A truly effective and sound **integration with AI**

# Acknowledgements



Claire Quigley: process management



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Research Council

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**Plus the group at TU Munich that  
created the second generation**